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(REV. 10.95)

ATTORNEY'S DOCKET NUMBER
450108-02391

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371

U S. APPLICATION NO. (If known see 37 C F.R. 1 5)

09/674583

INTERNATIONAL APPLICATION NO	INTERNATIONAL FILING DATE	(EARLIEST) PRIORITY DATE CLAIMED
PCT/JP00/01282	**3 March 2000**	**4 March 1999**

TITLE OF INVENTION   **DEVICE AND METHOD FOR PATTERN RECOGNITION, AND PROVIDING MEDIUM**

APPLICANTS FOR DO/EO/US   **Hongchang BAO**

Applicants herewith submit to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.

2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.

3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).

4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.

5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
   a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
   b. ☒ has been transmitted by the International Bureau.
   c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).

6. ☒ A translation of the International Application into English (35 U.S.C. 371(c)(2)), including <u>9</u> sheets of formal drawings and a copy of the International Search Report.

7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
   a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
   b. ☐ have been transmitted by the International Bureau.
   c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
   d. ☒ have not been made and will not be made.

8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).

9. ☐ An oath or declaration of the inventors (35 U.S.C. 371(c)(4)).

10. ☐ The annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern other document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.

12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.

13. ☒ A FIRST preliminary amendment.
    ☐ A SECOND or SUBSEQUENT preliminary amendment.

14. ☐ A substitute specification.

15. ☐ A change of power of attorney and/or address letter.

16. ☒ Other items or information:

    PCT/ISA/210, 220, PCT/IB/301, 304 and 308

    PCT/RO/101

    References for IDS

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Date of Deposit·   November 2 , 2000

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"Express Mail Post Office to Addressee" Service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents and Trademarks, Box PCT Washington, DC 20231.

Charles Jackson
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JMM3287

U.S. APPLICATION NO.(If known, see 37 C.F.R. 1.50)	INTERNATIONAL APPLICATION NO.	ATTORNEY'S DOCKET NO.
09/674583	**PCT/JP00/01282**	**450108-02391**

17. ☒ The following fees are submitted: (CALCULATIONS /PTO USE ONLY

Basic National Fee (37 CFR 1.492(a)(1)-(5):

Neither international preliminary examination fee (37 CFR 1.482) nor
international search fee (37CFR 1.445(a)(2) paid to USPTO and
International Search Report not prepared by the EPO or JPO...   ... $1000.00

International preliminary examination fee (37 C.F.R. 1.482)
not paid to USPTO but International Search Report prepared
by the EPO or JPO.................………………………………………....$ 860.00

International preliminary examination fee paid to USPTO (37 CFR 1.482)
Not paid to USPTO but international search fee (37 CFR 1.445(a)(2))
paid to USPTO (37 CFR 1.445(a)(2))......................................$ 710.00

International preliminary examination fee paid to USPTO (37 CFR 1.482)
But all claims did not satisify provisions of PCT Article 33(1)-(4)......$ 690.00

International preliminary examination fee paid to USPTO (37 CFR 1.482)
and all claims satisfied provisions of PCT Article 33(1)-(4)..................$ 100.00

ENTER APPROPRIATE BASIC FEE AMOUNT =   ($860.00

Surcharge of **$130.00** for furnishing the oath or declaration later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				($ /
7 Claims	/Number Filed /	Number Extra	/Rate	(
Total Claims	/ 7 - 20 = /	0	/X $18.00	($ 0.00
Independent Claims	/ 3 - 3 = /	0	/X $80.00	($ 0.00
Multiple dependent claim(s) (if applicable)			/+ $260.00	($

TOTAL OF ABOVE CALCULATIONS =   ($ 860.00

Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity (/
statement must also be filed. (Note 37 CFR 1.9, 1.27, 1.28).   (/

SUBTOTAL =   ($ 860.00

Processing fee of **$130.00** for furnishing the English translation later than   (/
☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492(f)).+   ($ /

TOTAL NATIONAL FEE =   ($ 860.00

Fee for recording the enclosed assignments (37 CFR 1.21(h)). The assignment   ($ 0.00/
must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). $40.00 per property +   ($ /

TOTAL FEES ENCLOSED =   ($ 860.00

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(refunded	/$
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a.   ☒ Our check in the amount of **$860.00** to cover the above fees are enclosed.
b.   ☐ Please charge my Deposit Account No. 50-0320 in the amount of $_____ to cover the above fees.
      A duplicate copy of this sheet is enclosed.
c.   ☒The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any
overpayment to Deposit Account No. **50-0320**. A duplicate copy of this sheet is enclosed.
**NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and
granted to restore the application to pending status.**

SEND ALL CORRESPONDENCE TO:

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Dated: November 2, 2000

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25,506
REGISTRATION NUMBER

Form PTO-1390 (REV **10-96)**
JMM3287

DESCRIPTION

DEVICE AND METHOD FOR PATTERN RECOGNITION, AND PROVIDING MEDIUM

Technical Field

The present invention is directed to a device and a method for pattern recognition, and to a providing medium. More particularly, the invention relates to a device and a method for pattern recognition for recognizing words that have been spoken under a noisy environment, and to a providing medium.

Background Art

Heretofore, methods for discriminating words that are spoken under noisy environments have been devised; as the typical methods, PMC (Parallel Model Combination) method, SS/NS (Spectral Subtraction/Nonlinear Spectral Subtraction) method, SFE (Stochastic Feature Extraction) method and others are known.

In any of the above-mentioned methods, a feature quantity of a voice data of a spoken voice that exists together with an environment noise is extracted, and it is judged that which of the acoustic models that are corresponding to the previously registered plural words is the one to which the feature quantity is most matching, and then the word that corresponds to the most matched acoustic model is output as the result of the recognition.

The features of the above-mentioned methods are described below.

1

That is, as to PMC method, the correct recognition rate is excellent because environment noise information is directly incorporated in an acoustic model, but the calculation cost becomes high (high-level computation is required, therefore, the scale of the device becomes large, and the time needed for the processing is longer). As to SS/NSS method, the environment noise is eliminated on a stage for extracting the feature quantity of the voice data. Hence, the calculation cost is lower than that of PMC method, and so, this method is used in many cases at present. In this connection, the feature quantity of the voice data is extracted as a vector, in SS/NSS method. As to SFE method, the environment noise is eliminated on the stage for extracting the feature quantity of the mixed signal, in the same way as SS/NSS method, however, the feature quantity is extracted as the probability distribution.

By the way, in SFE method, the environment noise is not directly reflected on the speech recognition stage, that is, the information of the environment noise is not directly incorporated in the silence acoustic model, and so, there has been such a problem that the correct recognition rate is insufficient.

In addition, because the information of the environment noise is not directly incorporated in the silence acoustic model, as the time from the time point at which the speech recognition has been started till the time point at which the speech is started becomes longer, the correct recognition rate lowers; that was also the problem.

2

Disclosure of the Invention

Considering such circumstances, the present invention aims to correct the silence acoustic model by the use of the information of the environment noise, and to hereby prevent the correct recognition rate from lowering as the time from the time point at which the speech recognition has been started till the time point at which the speech is started becomes longer.

To solve such problems, the present invention provides a pattern recognizing device that comprises extracting means for extracting the pattern of the input data as the feature distribution, storing means for storing the stated number of models, classifying means for classifying the feature distribution that has been extracted by the extracting means into any of the stated number of models, and generating means for generating a model that corresponds to such a state that data do not exist, on the basis of the noise that has been input at the time just preceding the inputting of the data, and then updating that which is corresponding to it and stored in the storing means.

Besides, the present invention provides a pattern recognizing method that comprises an extracting step of extracting the pattern of the input data as the feature distribution, a storing step of storing the stated number of models, a classifying step of classifying the feature distribution that has been extracted at the extracting step into any of the stated number of models, and a generating step of generating a model that corresponds to such a state that data do not exist, on the basis of the noise that has been input at the time just

preceding the inputting of the data, and then updating that which corresponds to it and has been stored at the storing step.

In addition, the present invention provides a providing medium for providing the program that can be read by a computer which causes the pattern recognizing device to execute the processing that includes an extracting step of extracting the pattern of the input data as the feature distribution, a storing step of storing the stated number of models, a classifying step of classifying the feature distribution that has been extracted at the extracting step into any of the stated number of models, and a generating step of generating a model that corresponds to such a state that data do not exist, on the basis of the noise that has been input at the time just preceding the inputting of the data, and then updating that which corresponds to it and has been stored at the storing step.

As a result of this, according to such a pattern recognizing device, a pattern recognizing method, and a providing medium, the pattern of the input data is extracted as the feature distribution, and the stated number of models are stored, and then the extracted feature distribution is classified into any of the stated number of models. Besides, a model that corresponds to such a state that any data do not exist is generated on the basis of the noise that has been input at the time just preceding the inputting of the data, and that which is corresponding to it and which has been being stored is updated. In this way, it becomes possible to prevent the correct recognition rate from lowering as the time from the time point at which the speech recognition has been started till

4

the time point at which the speech is started becomes longer.

Brief Description of the Drawings

Fig. 1 is a block diagram showing an example of the configuration of a speech recognition device to which the present invention has been applied.

Fig. 2 is a diagram used for explaining the operation of the noise-observation-section extracting division of Fig. 1.

Fig. 3 is a block diagram showing an example of the detailed configuration of the feature extracting division of Fig. 1.

Fig. 4 is a block diagram showing an example of the detailed configuration of the speech recognizing division of Fig. 1.

Fig. 5 is a diagram used for explaining the operation of the speech recognizing division.

Fig. 6 is a diagram used for explaining the operation of the silence-acoustic-model correcting division of Fig. 1.

Fig. 7 is a diagram used for explaining the operation of the silence-acoustic-model correcting division of Fig. 1.

Fig. 8 is a diagram showing the experimental results of speech recognition of the speech recognition device to which the present invention has been applied.

Best Mode for Carrying Out the Invention

An example of the configuration of the speech recognizing device to which the present invention has been applied will be explained, with

5

reference to the Fig. 1. In this speech recognizing device, a microphone 1 gathers spoken voice that is the object of recognition together with the environment noise, and outputs it to a framing division 2. The framing division 2 takes the voice data, which is input from the microphone 1, with the stated time interval (for instance, 10 ms), and outputs the taken data as the data of 1 frame. The voice data of 1 frame unit, which is the output of the framing division 2, is supplied to a noise-observation-section extracting division 3 and a feature extracting division 5 as an observation vector a, the components of which are the respective time series of voice data that compose the very frame.

Hereinafter, an observation vector that is the t-th frame voice data is designated as $a(t)$, for convenience.

The noise-observation-section extracting division 3 performs buffering of the framed voice data that is input from the framing division 2, during only the stated time (a duration which corresponds to M frames or more); a section from the instant t_b at which a press-to-talk switch 4 has been turned to the ON position until the instant t_a which precedes the instant t_b by M frames is referred to as the noise observation section Tn, as shown in Fig. 2; the noise-observation-section extracting division 3 extracts the observation vector a of M frames in the noise observation section Tn, and outputs it to the feature extracting division 5 and to a silence-acoustic-model correcting division 7.

The press-to-talk switch 4 is turned to its ON position by the user

6

himself, at the time when he intends to start speaking. Therefore, the spoken voice is not included in the voice data of the noise observation section Tn, which precedes the instant t_b at which the press-to-talk switch 4 has been turned to the ON position, and only the environment noise exists. Besides, a section from the instant t_b at which the press-to-talk switch 4 has been turned to the ON position till the instant t_d at which the press-to-talk switch 4 has been turned to the OFF position is referred to as a speech recognition section, and the voice data of the section is treated as the object of speech recognition.

On the basis of the voice data that is input from the noise-observation-section extracting division 3 and including only the environment noise of the noise observation section Tn, the feature extracting division 5 eliminates the environment noise components out of the observation vector a of the speech recognizing section succeeding the instant t_b that is input from the framing division 2, and then extracts its feature quantity. In other words, the feature extracting division 5 performs, for instance, Fourier transformation with respect to true voice data (the environment noise has been eliminated) that is treated as the observation vector a, and obtains its power spectrum, and then calculates a feature vector y whose components are the respective frequency components of the power spectrum. In this connection, the power-spectrum calculating method is not limited to that which is based on Fourier transformation. That is, the power spectrum can be obtained by the other methods, such as so-called

7

filter-bank method.

In addition, on the basis of the calculated feature vector y, the feature extracting division 5 calculates a parameter Z that represents the distribution on the feature vector space (hereinafter, this is referred to as a feature distribution parameter) that is obtained when the voice included in the voice data which is the observation vector a has been mapped to the space of the feature quantity (the feature quantity space), and then supplies the parameter Z to a speech recognizing division 6.

Fig. 3 is showing an example of the detailed configuration of the feature extracting division 5 of Fig. 1. The observation vector a, which is input from the framing division 2, is supplied to a power-spectrum analyzing division 11 in the feature extracting division 5. On the power-spectrum analyzing division 11, for instance, Fourier transformation is performed by FFT (fast Fourier transformation) algorithm, with respect to the observation vector a, in this way, the power spectrum that is the feature quantity of the speech is extracted as the feature vector. In this preferred embodiment, the observation vector a, which is the voice data of 1 frame, is translated into the feature vector that is comprised of D components (D-dimensional feature vector).

At here, the feature vector that is obtained from the observation vector $a(t)$ of the t-th frame is designated as $y(t)$. Besides, out of the feature vector $y(t)$, the spectrum component of true speech is designated as $x(t)$, and the spectrum component of environment noise

8

is designated as u(t). In this case, the spectrum component of true speech x(t) is represented with the following equation, eq. 1.

$$x(t) = y(t) - u(t) \qquad\qquad \cdots\cdots (1)$$

Wherein, it is assumed that the environment noise has an irregular characteristic, and the observation vector a(t) is such a voice data that the environment noise has been added to true speech component.

On the other hand, the voice data (environment noise), which is input from the noise-observation-section extracting division 3, is input to a noise-characteristic calculating division 13 in the feature detecting division 5. On the noise-characteristic calculating division 13, the characteristics of the environment noise in the noise observation section Tn is obtained.

That is, at here, mean value (mean vector) and variance (variance matrix) of the environment noise are obtained on the noise-characteristic calculating division 13, wherein it is assumed that the distribution of the power spectrum u(t) of the environment noise at the speech recognition section is identical to that of the environment noise at the noise observation section Tn which is just preceding the speech recognition section, and it is also assumed that the distribution is normal distribution.

The mean vector μ' and the variance matrix Σ' can be obtained on the basis of the following equations, eq. 2 and eq. 3.

9

$$\mu'(i) = \frac{1}{M} \sum_{t=1}^{M} y(t)(i)$$

$$\Sigma'(i,j) = \frac{1}{M} \sum_{t=1}^{M} (y(t)(i) - \mu'(i))(y(t)(j) - \mu'(j)) \qquad \cdots\cdots (2)$$

Where $\mu'(i)$ represents the i-th component of the mean vector $\mu'(i = 1, 2, \cdots, D)$. Besides, $y(t)(i)$ represents the i-th component of the t-th frame's feature vector. In addition, $\Sigma'(i, j)$ represents the i-th row and the j-th column component of the variance matrix Σ', $(j=1, 2, \cdots, D)$.

At here, in order to reduce the quantity of calculation, it is assumed that the respective components of the feature vector y are uncorrelated to each other, with respect to the environment noise. In this case, as shown in the following equation, the variance matrix Σ' other than the diagonal elements become 0.

$$\Sigma'(i,j)=0, \quad i \neq j \qquad \cdots\cdots (3)$$

In this way, the mean vector μ' and the mean value Σ', which are the characteristics of the environment noise, are obtained on the noise-characteristic calculating division 13, and then supplied to a feature-distribution-parameter calculating division 12.

On the other hand, the output of the power-spectrum analyzing division 11, that is the feature vector y of the spoken voice that includes the environment noise, is supplied to the feature-

10

distribution-parameter calculating division 12. On the feature-distribution-parameter calculating division 12, the feature distribution parameter that represents the distribution of the power spectrum of true speech (the distribution of the estimated value) is calculated, on the basis of the feature vector y that is given from the power-spectrum analyzing division 11 and the characteristics of the environment noise that is given from the noise-characteristic calculating division 13.

That is, on the feature-distribution-parameter calculating division 12, based on the presumption that the distribution of the power spectrum of true speech is normal distribution, the mean vector ξ and the distribution matrix Ψ of that are calculated as the feature distribution parameter, in accordance with the following equations, eq. 4 through eq. 7.

$$
\begin{aligned}
\xi(t)(i) &= E[x(t)(i)] \\
&= E[y(t)(i) - u(t)(i)] \\
&= \int_0^{y(t)(i)} (y(t)(i) - u(t)(i)) \frac{P(u(t)(i))}{\int_0^{y(t)(i)} P(u(t)(i))du(t)(i)} du(t)(i) \\
&= \frac{y(t)(i)\int_0^{y(t)(i)} P(u(t)(i))du(t)(i) - \int_0^{y(t)(i)} u(t)(i)P(u(t)(i))du(t)(i)}{\int_0^{y(t)(i)} P(u(t)(i))du(t)(i)} \\
&= y(t)(i) - \frac{\int_0^{y(t)(i)} u(t)(i)P(u(t)(i))du(t)(i)}{\int_0^{y(t)(i)} P(u(t)(i))du(t)(i)}
\end{aligned}
$$

$$\cdots\cdots(4)$$

11

If i=j, then

$$\Psi(t)(i,j) = V[x(t)(i)]$$
$$= E[(x(t)(i))^2] - (E[x(t)(i)])^2$$
$$(= E[(x(t)(i))^2] - (\xi(t)(i))^2)$$

If i≠j, then

$$\Psi(t)(i,j) = 0$$

$$\cdots\cdots(5)$$

$$E[(x(t)(i))^2] = E[(y(t)(i) - u(t)(i))^2]$$

$$= \int_0^{y(t)(i)} (y(t)(i) - u(t)(i))^2 \frac{P(u(t)(i))}{\int_0^{y(t)(i)} P(u(t)(i))du(t)(i)} du(t)(i)$$

$$= \frac{1}{\int_0^{y(t)(i)} P(u(t)(i))du(t)(i)} \times \left\{ (y(t)(i))^2 \int_0^{y(t)(i)} P(u(t)(i))du(t)(i) \right.$$

$$-2y(t)(i) \int_0^{y(t)(i)} u(t)(i)P(u(t)(i))du(t)(i)$$

$$\left. + \int_0^{y(t)(i)} (u(t)(i))^2 P(u(t)(i))du(t)(i) \right\}$$

$$= (y(t)(i))^2 - 2y(t)(i) \frac{\int_0^{y(t)(i)} u(t)(i)P(u(t)(i))du(t)(i)}{\int_0^{y(t)(i)} P(u(t)(i))du(t)(i)}$$

$$+ \frac{\int_0^{y(t)(i)} (u(t)(i))^2 P(u(t)(i))du(t)(i)}{\int_0^{y(t)(i)} P(u(t)(i))du(t)(i)}$$

$$\cdots\cdots(6)$$

12

$$P(u(t)(i)) = \frac{1}{\sqrt{2\pi \ \Sigma'(i,i)}} \ e^{-\frac{1}{2\Sigma'(i,i)} (u(t)(i) - \mu'(i))^2}$$

$$\cdots\cdots (7)$$

Where $\xi(t)(i)$ represents the i-th component of the mean vector $\xi(t)$ in the t-th frame. E[] designates the mean value of what is within the bracket []. And, x(t)(i) represents the i-th component of the power spectrum of true speech in the t-th frame x(t). In addition, u(t)(i) represents the i-th component of the power spectrum of the environment noise in the t-th frame, and P(u(t)(i)) represents such a probability that the i-th component of the power spectrum of the environment noise in the t-th frame is u(t)(i). It is assumed herein that the distribution of the environment noise is normal distribution, and so P(u(t)(i)) is represented as shown in eq. 7.

And, $\Psi(t)(i,j)$ represents the i-th row and the j-th column component of the variance $\Psi(t)$ in the t-th frame. In addition, V[] represents the variance of what is within the bracket []. On the feature-distribution-parameter calculating division 12, the mean vector ξ and the variance matrix Ψ are thus obtained, for each frame, as the feature distribution parameter that represents the distribution upon the feature vector space of true speech (this means the distribution of the case where it has been assumed that the distribution upon the feature vector space of true speech is normal distribution).

After that, the feature distribution parameter that has been

13

obtained in each frame of the speech recognition section is output to the speech recognizing division 6. Now, supposing that the speech recognition section is comprised of T frames, and the feature distribution parameters that have been obtained in the respective T frames are designated as $z(t) = \{ \xi(t), \Psi(t) \}$ (t=1, 2, $\cdots$, T), the feature-distribution-parameter calculating division 12 supplies the feature distribution parameter (sequence) $Z = \{ z(1), z(2), \cdots, z(T) \}$ to the speech recognizing division 6.

Referring to Fig. 1 again, the speech recognizing division 6 classifies the feature distribution parameter Z, which is input from the feature extracting division 5, into any of the stated number K of acoustic models and one silence acoustic model, and then outputs the result of the classification as the result of the recognition of the input voice. In other words, the speech recognizing division 6 stores, for instance, a discriminant function that is corresponding to the silent section (a function for discriminating whether the feature parameter Z should be classified into the silence acoustic model or not) and discriminant functions that are corresponding to the stated number K of words respectively (functions for determining that the feature parameter Z should be classified into any of acoustic models), and calculates the values of the discriminant functions of the respective acoustic models, using the feature distribution parameter Z given from the feature extracting division 5 as the argument. And, an acoustic model (a word or the silent section) that has the maximum function value is output as the result of the recognition.

14

Fig. 4 shows an example of the detailed configuration of the speech recognizing division 6 of Fig. 1. The feature distribution parameter Z, which is input from the feature-distribution-parameter calculating division 12 of the feature extracting division 5, is supplied to discriminant-function computing divisions 21-1 through 21-k, and to a discriminant-function computing division 21-s. The discriminant-function computing division 21-k (k=1, 2, $\cdots$, K) is storing a discriminant function $Gk(Z)$ for discriminating a word that is corresponding to the k-th one of K acoustic models, and computes the discriminant function $Gk(Z)$, using the feature distribution parameter Z given from the feature extracting division 5 as the argument. The discriminant-function computing division 21-s is storing a discriminant function $Gs(Z)$ for discriminating the silent section that is corresponding to the silent acoustic model, and computes the discriminant function $Gs(Z)$, using the feature distribution parameter Z which is given from the feature extracting division 5 as the argument.

In this connection, on the speech recognizing division 6, discrimination (recognition) of the words or the silent section, which are the classes, is performed by the use of HMM (Hidden Markov) method, for instance.

Now, HMM method is explained with reference to Fig. 5. As shown in the figure, HMM has H states q_1 through q_H; as to transition of a state, only transition to itself and transition to the next state on the right side are allowed. The initial state is defined as the leftmost state q_1, and the final state is defined as the rightmost state q_H,

15

and state transition that occurs from the final state q_H is inhibited. A model that do not include any transition to the left side is referred to as a left-to-right model; in speech recognition, a left-to-right model is used in general.

Now, the model for discriminating k classes of HMM is referred to as the k classes model, hereinafter; the k classes model is prescribed by, for instance, a probability of staying in the state q_h initially (the initial state probability) $\pi_k(q_h)$, a probability of staying in the state q_i at a certain time (frame) t and transferring to the state q_j at the next time t1 (the transition probability $a_k(q_i, q_j)$, and such a probability that the state q_i outputs the feature vector O at the time when state transition occurs from the state q_i (the output probability) $b_k(q_i)(O)$ (h=1, 2, $\cdots$, H).

And, in the case where a certain feature vector sequence O_1, O_2, $\cdots$ has been given, for instance, the class of a model that has the highest probability of observation of such feature vector sequence (observation probability) is determined to be the result of the recognition of the feature vector sequence.

At here, this observation probability is obtained by the discriminant function $G_k(Z)$. That is, the discriminant function $G_k(Z)$ is given with the following equation, eq. 8, in the optimal state sequence (a way for transferring of the optimal state) relative to the feature distribution parameter (sequence) $Z = \{z_1, z_2, \cdots, z_T\}$, as what serves for obtaining such a probability that such feature distribution parameter (sequence) $Z = \{z_1, z_2, \cdots, z_T\}$ is observed.

16

$$g_k(Z) = \max_{q_1,q_2,\cdots,q_T} \pi_k(q_1) \cdot b_k'(q_1)(z_1) \cdot a_k(q_1,q_2) \cdot b_k'(q_2)(z_2)$$

$$\cdots a_k(q_{T-1},q_T) \cdot b_k'(q_T)(z_T)$$

$$\cdots\cdots (8)$$

Where $b_k'(q_i)(Z_j)$ represents the output probability of the time when the output is the distribution that is represented with z_j. To the output probability $b_k(s)(O_t)$ that is a probability of outputting each feature vector at the time of state transition, at here, a normal distribution function is applied, as that which is uncorrelated to the component upon the feature vector space. In this case, when the input is a distribution that is represented by z_t, the output probability $b_k'(s)(z_t)$ can be found with the following equation, eq. 9, using a probability density function $P_k^m(s)(x)$ that is prescribed by the mean vector $\mu_k(s)$ and the variance matrix $\Sigma_k(s)$, as well as a probability density function $P^f(t)(x)$ that represents the distribution of the t-th frame's feature vector (as used herein, the power spectrum) x.

$$b_k'(s)(z_t) = \int P^f(t)(x) P_k^m(s)(x)dx$$

$$= \prod_{i=1}^{D} P(s)(i)(\xi(t)(i), \Psi(t)(i,i))$$

$$k=1,2,\cdots,K : s=q_1,q_2\cdots,q_T : T=1,2\cdots,T$$

$$\cdots\cdots (9)$$

Where the interval of integration of the integration operation in the equation 9 is the whole of D-dimensional feature vector space (as

used herein, the power spectrum space).

Besides, in the equation 9, $P(s)(i)(\xi(t)(i), \Psi(t)(i,i))$ is represented with the following equation, eq. 10.

$P(s)(i)(\xi(t)(i), \Psi(t)(i,i))$

$$= \frac{1}{\sqrt{2\pi(\Sigma_k(s)(i,i) + \Psi(t)(i,i))}} e^{-\frac{(\mu_k(s)(i) - \xi(t)(i))^2}{2(\Sigma_k(s)(i,i) + \Psi(t)(i,i))}}$$

$$\cdots\cdots (10)$$

Where $\mu_k(s)(i)$ represents the i-th component of the mean vector $\mu_k(s)$, and $\Sigma_k(s)(i, i)$ represents the i-th row and i-th column component of the variance matrix $\Sigma_k(s)$, respectively. And, the output probability of the k classes model is prescribed by these.

As stated above, HMM is prescribed by the initial state probability $\pi_k(q_h)$, the transition probability $a_k(q_i, q_j)$, and the output probability $b_k(q_i)(O)$, which should be previously obtained by the use of the feature vector that is calculated from the learning voice data.

At here, in the case where that which has been shown in Fig. 5 is used as HMM, transition always starts at the leftmost state q_1, therefore, only the initial state probability that is corresponding to the state q_1 becomes 1, and all of the initial state probabilities that are corresponding to the other states become 0. On the presumption that $\Psi(t)(i,i)$ is 0, the output probability coincides with the output probability in continuous HMM of the case where the variance of the

18

feature vector is not regarded, as will be seen from the equations 9 and 10.

In this connection, as learning methods of HMM, Baum-Welch's re-estimation method and others are known.

Well, returns to Fig. 4. With respect to the k classes model, the discriminant-function computing divisions 21-k $(k=1, 2, \cdots, K)$ stores the discriminant function $G_k(Z)$ of the equation 8 that is prescribed by the initial state probability $\pi_k(q_h)$, the transition probability $a_k(q_i, q_j)$, and the output probability $b_k(q_i)(O)$, which have been previously obtained through learning, and calculates the discriminant function $G_k(Z)$, using the feature distribution parameter Z given from the feature extracting division 2 as the argument, and then outputs the resulted function value (the above-mentioned observation probability) $G_k(Z)$ to a deciding division 22. The discriminant-function computing division 21-s stores the discriminant function $G_s(Z)$, which is similar to the discriminant function $G_k(Z)$ of the equation 8, that is prescribed by the initial state probability $\pi_s(q_h)$, the transition probability $a_s(q_i, q_j)$, and the output probability $b_s(q_i)(O)$, and calculates the discriminant function $G_s(Z)$, using the feature distribution parameter Z given from the feature extracting division 2 as the argument, and then outputs the resulted function value (the above-mentioned observation probability) $G_s(Z)$ to the deciding division 22.

In the deciding division 22, with respect to the function values $G_k(Z)$ (including the function value $G_s(Z)$, at this position) that are

19

given from the respective ones of the discriminant-function computing
divisions 21-1 through 21-k and the discriminant-function computing
division 21-s, the feature distribution parameter Z, that is, the class
(the acoustic model) to which the input voice belongs is discriminated,
by the use of, for instance, the decision rule shown in the following
equation, eq. 11.

$$C(Z)=C_k, \text{ if } G_k(Z)=\max_i\{G_i(Z)\}$$

$$\cdots\cdots(11)$$

Where $C(Z)$ represents the function for performing the
discrimination operation (processing) of discriminating the class to
which the feature distribution parameter Z belongs. Besides, Max in
the right side member of the second expression of the equation 11 denotes
the maximum value of the succeeding function value $G_i(Z)$, (as used at
this position, $i=s, 1, 2, \cdots, K$).

The deciding division 22 decides the class in accordance with the
equation 11, and then outputs it as the recognition result of the input
voice.

Well, returns to Fig. 1 for reference. On the basis of the voice
data (environment noise) of the noise observation section Tn, which
is input from the noise-observation-section extracting division 3, the
silence-acoustic-model correcting division 7 generates the
discriminant function $G_s(Z)$ that is corresponding to the silence
acoustic model stored in the speech recognizing division 6, and then

20

supplies it to the speech recognizing division 6.

To put it concretely, in the silence-acoustic-model correcting division 7, the feature vector X is observed with respect to the respective frames of M frames of the voice data (environment noise) of the noise observation section Tn, which is input from the noise-observation-section extracting division 3, and the feature distribution of those is generated.

$$\{F_1(X), F_2(X), \cdots, F_M(X)\} \qquad \cdots\cdots(12)$$

In this connection, the feature distribution $\{F_i(X), i=1, 2, \cdots, M\}$ is a probabilistic density function, and so, hereinafter, it is referred to as the silence feature distribution PDF, too.

Next, as shown in Fig. 7, the silence feature distribution PDF is mapped to the probability distribution that is corresponding to the silence acoustic model $F_s(X)$, in accordance with the following equation, eq. 13.

$$F_s(X) = V(F_1(X), F_2(X), \cdots, F_M(X)) \qquad \cdots\cdots(13)$$

Where V is a correcting function (mapping function) for mapping the silence feature distribution PDF $\{F_i(X), i=1, 2, \cdots, M\}$ to the silence acoustic model $F_s(X)$.

As to this mapping, according to the description of the silence feature distribution PDF, wide variety of methods are possible, for

21

instance,

$$F_s(X) = \sum_{i=1}^{M} \beta_i(F_1(X), F_2(X), \cdots, F_M(X), M) \cdot F_i(X) \qquad \cdots\cdots (14)$$

$$= \sum_{i=1}^{M} \beta_i \cdot F_i(X) \qquad\qquad\qquad \cdots\cdots (15).$$

Where $\beta_i(F_1(X), F_2(X), \cdots, F_M(X), M)$ is a weight function of each silence feature distribution β_i, and designated as β_i, hereinafter. Besides, the weight function β_i should be that which satisfies the condition of the following equation, eq. 16.

$$\sum_{i=1}^{M} \beta_i(F_1(X), F_2(X), \cdots, F_M(X), M) = \sum_{i=1}^{M} \beta_i \equiv 1 \qquad \cdots\cdots (16)$$

Assuming that the probability distribution of the silence acoustic model $F_s(X)$ is normal distribution and that the components that compose the feature vector of each frame are uncorrelated to each other, the covariance matrix Σ_i of the silence feature distribution PDF $\{F_i(X), i=1, 2, \cdots, M\}$ becomes a diagonal matrix. The precondition for this assumption is that the covariance matrix of the silence acoustic model is also a diagonal matrix. Therefore, if the components that compose the feature vector of each frame are uncorrelated, the silence feature distribution PDF $\{F_i(X), i=1, 2, \cdots, M\}$ becomes the normal distribution $G(E_i, \Sigma_i)$ that has the mean and the variance that are corresponding to each component. Where E_i is the expected value of $F_i(X)$, and Σ_i is the covariance matrix of $F_i(X)$.

Besides, if the mean of the silence feature distribution that is corresponding to M frames of the noise observation section Tn is designated as μ_i, and the variance of it is designated as $\sigma_i{}^2$, then the probability density function of the silence feature distribution can be designated as the normal distribution $G(\mu_i, \sigma_i{}^2)$, (i=1, 2, ..., M). Therefore, the normal distribution $G(\mu_s, \sigma_s{}^2)$ (this is corresponding to the above-mentioned $G_s(Z)$) of the silence acoustic model that is computed in accordance with the following various method by the use of the mean μ_i and the variance $\sigma_i{}^2$ that are corresponding to each frame becomes the approximate distribution of the silence acoustic model $F_s(X)$ shown in Fig. 7.

The first method for calculating the normal distribution $G(\mu_s, \sigma_s{}^2)$ of the silence acoustic model is such a method that the mean value μ_s of the silence acoustic model is obtained from the mean of all μ_i as shown in the following equation, eq. 17, and the variance $\sigma_i{}^2$ of the silence acoustic model is obtained from the mean of all $\sigma_i{}^2$ as shown in the following equation, eq. 18, by the use of the silence feature distribution $\{G(\mu_i, \sigma_i{}^2), i=1, 2, ..., M\}$.

$$\mu_s = \frac{a}{M} \sum_{i=1}^{M} \mu_i \qquad\qquad \cdots\cdots (17)$$

$$\sigma_s^2 = \frac{b}{M} \sum_{i=1}^{M} \sigma_i^2 \qquad\qquad \cdots\cdots (18)$$

Where a and b are the coefficients, the optimal values of those are determined by simulations.

23

The second method for calculating the normal distribution $G(\mu_s, \sigma_s^2)$ of the silence acoustic model is such a method that the mean value μ_s and the variance σ_i^2 of the silence acoustic model are calculated in accordance with the following equations, eq. 19 and eq. 20, by the use of only the expected value μ_i of the silence feature distribution $\{G(\mu_i, \sigma_i^2), i=1, 2, \cdots, M)\}$.

$$\mu_s = \frac{a}{M} \cdot \sum_{i=1}^{M} \mu_i \qquad \cdots\cdots (19)$$

$$\sigma_s^2 = b \cdot \left(\frac{1}{M} \cdot \sum_{i=1}^{M} \mu_i^2 - \mu_s^2 \right) \qquad \cdots\cdots (20)$$

Where a and b are the coefficients, the optimal values of those are determined by simulations.

The third method for calculating the normal distribution $G(\mu_s, \sigma_s^2)$ of the silence acoustic model is such a method that the mean value μ_s and the variance σ_s^2 of the silence acoustic model are calculated on the basis of the combination of the silence feature distribution $\{G(\mu_i, \sigma_i^2), i=1, 2, \cdots, M)\}$.

In this method, the probabilistic statistic of each silence feature distribution $G(\mu_i, \sigma_i^2)$ is designated as X_i.

$$\{X_1, X_2, \cdots, X_M\} \qquad \cdots\cdots (21)$$

At this position, when the probabilistic statistic of the normal distribution $G(\mu_s, \sigma_s^2)$ of the silence acoustic model is designated

24

as X_s, the probabilistic statistic X_s can be represented by the linear combination of the probabilistic statistic X_i and the weight function β_i, as shown in the following equation, eq. 22. In this connection, the weight function β_i satisfies the condition of the equation 16.

$$X_s = \sum_{i=1}^{M} \beta_i \cdot X_i \qquad \cdots\cdots (22)$$

And, the normal distribution $G(\mu_s, \sigma_s^2)$ of the silence acoustic model is represented as shown in the following equation, eq. 23.

$$G(\mu_s, \sigma_s^2) = G\left(\sum_{i=1}^{M} \beta_i \mu_i, \sum_{i=1}^{M} \beta_i^2 \sigma_i^2\right) \qquad \cdots\cdots (23)$$

Besides, to generalize the equation 23, the weight function β_i is assumed to be $\{\beta_i = 1/M, i=1, 2, \cdots, M\}$, and the mean value μ_s and the variance σ_s^2 are multiplied by the respective coefficient.

$$\mu_s = \frac{a}{M} \cdot \sum_{i=1}^{M} \mu_i \qquad \cdots\cdots (24)$$

$$\sigma_s^2 = \frac{b}{M^2} \cdot \sum_{i=1}^{M} \sigma_i^2 \qquad \cdots\cdots (25)$$

Where a and b are the coefficients, the optimal values of those are determined by simulations.

In the fourth method for calculating the normal distribution $G(\mu_s, \sigma_s^2)$ of the silence acoustic model, a statistical population $\Omega_i = \{f_i, _j\}$ that is corresponding to the probabilistic statistic X_i of

the silence feature distribution $\{G(\mu_i, \sigma_i{}^2), i=1, 2, \cdots, M\}$ is assumed.

Wherein, if

$$\{N_i \equiv N; \quad i=1, 2, \cdots, M\},$$

the mean value μ_i can be found by the following equation, eq. 26, and the variance $\sigma_i{}^2$ can be found by the following equation, eq. 28.

$$\mu_i = \frac{1}{N} \sum_{j=1}^{M} f_{i,j} \qquad \cdots\cdots (26)$$

$$\sigma_i^2 = \frac{1}{N} \sum_{j=1}^{M} (f_{i,j}^2 - \mu_j)^2 \qquad \cdots\cdots (27)$$

$$= \frac{1}{N} \sum_{j=1}^{M} f_{i,j}^2 - \mu_j^2 \qquad \cdots\cdots (28)$$

Deformation of the equation 28 yields the following equation, eq. 29.

$$\frac{1}{N} \sum_{j=1}^{M} f_{i,j}^2 = \sigma_i^2 + \mu_i^2 \qquad \cdots\cdots (29)$$

Regarding the sum of the statistical population Ω,

$$\Omega = \bigcup_{i=1}^{M} \Omega_i$$

we have the following equations 30 and 31 from the equation 26, and also we have the following equations 32 through 31 from the equation 29.

$$\mu_s = \frac{1}{MN} \sum_{i=1}^{M} \sum_{j=1}^{N} f_{i,j} \qquad \cdots\cdots (30)$$

$$= \frac{1}{M} \sum_{i=1}^{M} \mu_i \qquad \cdots\cdots (31)$$

$$\sigma_s^2 = \frac{1}{MN} \sum_{i=1}^{M} \sum_{j=1}^{N} (f_{i,j} - \mu_s)^2 \qquad \cdots\cdots (32)$$

$$= \frac{1}{MN} \sum_{i=1}^{M} \sum_{j=1}^{N} f_{i,j}^2 - \mu_s^2 \qquad \cdots\cdots (33)$$

$$= \frac{1}{M} \sum_{i=1}^{M} (\sigma_i^2 + \mu_i^2) - \mu_s^2 \qquad \cdots\cdots (34)$$

Where the equation 31 and the equation 34 are multiplied by the respective coefficients and used, in practice.

$$\mu_s = \frac{a}{M} \sum_{i=1}^{M} \mu_i \qquad \cdots\cdots (35)$$

$$\sigma_s^2 = b \cdot \left(\frac{1}{M} \sum_{i=1}^{M} (\sigma_i^2 + \mu_i^2) - \mu_s^2 \right) \qquad \cdots\cdots (36)$$

Where a and b are the coefficients, the optimal values of those are determined by simulations.

· Besides, as shown in the following equation, eq. 27, only the variance σ_s^2 may be multiplied by the coefficient.

$$\sigma_s^2 = \frac{b}{M} \sum_{i=1}^{M} \sigma_i^2 + \frac{1}{M} \sum_{i=1}^{M} \mu_i^2 - \mu_s^2 \qquad \cdots\cdots (37)$$

Next, the operation of the speech recognizing device is explained.

The voice data (the spoken voice which is the object of recognition and which is including the environment noise) that has been collected by the microphone 1 is input to the framing division 2, and, at there, the voice data is partitioned into frames. The voice data of the respective frames are sequentially supplied to the noise-observation-section extracting division 3 and the feature extracting division 5, as the observation vector a. On the noise-observation-section extracting division 3, the voice data (environment noise) of the noise observation section Tn preceding the instant t $_b$ at which the press-to-talk switch 4 has been turned to the ON position is extracted, and supplied to the feature extracting division 5 and the silence-acoustic-model correcting division 7.

On the feature extracting division 5, sound analysis of the voice data, which is the observation vector a that has been delivered from the framing division 2, is performed, so as to find its feature vector y. In addition, on the feature extracting division 5, the feature distribution parameter Z that represents the distribution in the feature vector space is calculated on the basis of the found feature vector y, and supplied to the speech recognizing division 6. On the speech recognizing division 6, the values of the discriminant functions of the acoustic models that are corresponding to the silent section and K words respectively (K is the stated number) are computed, using the feature distribution parameter that is given from the feature extracting division 5, and then the acoustic model whose function value

28

is maximum is output as the result of the recognition of the speech.

Besides, on the speech recognizing division 6, using the discriminant function that is corresponding to the silence acoustic model which is input from the silence-acoustic-model correcting division 7, the discriminant function that is corresponding to the silence acoustic model which has been being stored until that time is updated.

As stated above, the voice data that functions as the observation vector a is translated into a feature distribution parameter Z that represents the distribution in the feature vector space that is the space of the feature quantity, and so the feature distribution parameter becomes that which has regarded the distribution characteristic of the noise included in the voice data; besides, the discriminant function that corresponds to the silence acoustic model for recognizing the silent section is updated, on the basis of the voice data of the noise observation section Tn just preceding the speech; therefore, it is able to improve the correct recognition rate of speech recognition.

Next, referring to Fig. 8, it is illustrating the results of the experiment of measuring the variation of the correct speech recognition rate at the time when the silent section Ts, which is the interval from turning On of the press-to-talk switch until starting of the speech, is varied.

In Fig. 8, the curve denoted "a" shows the result based on the conventional method wherein the silence acoustic model is not corrected, the curve denoted "b" shows the result based on the first method, the curve denoted "c" shows the result based on the second method,

29

the curve denoted "d" shows the result based on the third method, and the curve denoted "e" shows the result based on the fourth method.

The conditions of the experiment are as shown below. The voice data that are to be recognized have been collected at the inside of a car that have been being run on a high way. The noise observation section Tn is about 0.2 second that corresponds to 20 frames. The silent section Ts has been set to 0.05 second, 0.1 second, 0.2 second, 0.3 second, and 0.5 second. In feature extraction of the voice data, analysis has been performed with MFCC (Mel-Frequency Cepstral Coefficients) domain. The speakers of the voice that is the object of recognition have been 8 persons, 4 men and 4 women, and 303 words per person have been spoken in a discrete manner. The task is 5000 words, in large vocabulary discrete Japanese. The acoustic models are based on HMM, and are that which have been previously learned by the use of the satisfactory voice data. In the speech recognition, the beam width has been set to 3000 in Viterbi search method.

In the cases of the first, the second, and the fourth methods, the coefficient a has been set to 1.0, and the coefficient b has been set to 0.1. In the case of the third method, the coefficient a has been set to 1.0, and the coefficient b has been set to 1.0, too.

As will be seen from Fig. 8, according to the conventional method (the curve a), the correct speech-recognition rate remarkably lowers as the silent section Ts becomes longer, however, according to the first method through the fourth method of the present invention (the curve b through the curve e), the correct speech-recognition rate shows only

30

a little lowering even though the silent section Ts becomes longer. That is, according to the present invention, even if the silent section Ts varies, it is able to maintain the correct speech-recognition rate to a certain level.

A speech recognizing device to which the present invention has been applied has been hereinbefore explained; the speech recognizing device like this can be applied to the wide variety of devices, such as a voice-entry car navigation device.

In this embodiment, the feature distribution parameter has been found wherein the distribution characteristic of the noise has been regarded; this noise may include the others, such as the characteristics of the communication channel of the case where the speech recognition is performed with respect to the voice that is transmitted via a communication channel, for instance, a telephone line, as well as the external noise under the environment at which the speech is performed.

Besides, the present invention is applicable to the case of performing pattern recognition such as picture recognition, as well as speech recognition.

The computer program for executing said each processing can be supplied to a user, via a network providing medium such as the Internet and a digital satellite, as well as a providing medium that is comprised of an information recording medium such as a magnetic disk and a CD-ROM.

Industrial Applicability

The present invention is applicable to a speech recognizing device.

31

CLAIMS

1. A pattern recognizing device for classifying the feature distribution of input data into any of the stated number of models, comprising:

extracting means for extracting the pattern of said input data as the feature distribution;

storing means for storing said stated number of models;

classifying means for classifying the feature distribution that has been extracted by said extracting means into any of said stated number of models; and

generating means for generating said model that corresponds to such a state that said data do not exist, on the basis of the noise that has been input at the time just preceding the inputting of said data, and for updating that which is corresponding to it and is stored in said storing means.

2. The pattern recognizing device of claim 1, wherein in the case where the feature distribution of such a state that said data do not exist, and the probability distribution of said model that corresponds to such a state that said data do not exist are normal distribution, said generating means generate the expected value of said model that corresponds to such a state that said data do not exist, as the mean of the expected values that correspond to the respective components of the feature distribution of such a state that said data

32

do not exist, and generate the variance of said model that corresponds to such a state that said data do not exist, as the mean of the variances that correspond to the respective components of the feature distribution of such a state that said data do not exist.

3. The pattern recognizing device of claim 1, wherein
in the case where the feature distribution of such a state that said data do not exist, and the probability distribution of said model that corresponds to such a state that said data do not exist are normal distribution, said generating means generate the expected value and the variance of said model that corresponds to such a state that said data do not exist, by the use of the mean of the expected values that correspond to the respective components of the feature distribution of such a state that said data do not exist.

4. The pattern recognizing device of claim 1, wherein
in the case where the feature distribution of such a state that said data do not exist, and the probability distribution of said model that corresponds to such a state that said data do not exist are normal distribution, said generating means generate the probability distribution of said model that corresponds to such a state that said data do not exist, on the basis of the linear combination of the statistics that correspond to the respective components of the feature distribution of such a state that said data do not exist.

5. The pattern recognizing device of claim 1, wherein

in the case where the feature distribution of such a state that said data do not exist, and the probability distribution of said model that corresponds to such a state that said data do not exist are normal distribution, said generating means generate the probability distribution of said model that corresponds to such a state that said data do not exist, on the basis of the sum of the statistical populations that correspond to the respective components of the feature distribution of such a state that said data do not exist.

6. A pattern recognizing method of a pattern recognizing device for classifying the feature distribution of input data into any of the stated number of models, said method comprises:

an extracting step of extracting the pattern of said input data as the feature distribution;

a storing step of storing said stated number of models;

a classifying step of classifying the feature distribution that has been extracted on said extracting step into any of said stated number of models; and

a generating step of generating said model that corresponds to such a state that said data do not exist, on the basis of the noise that has been input at the time just preceding the inputting of said data, and then updating the corresponding one that has been stored on said storing step.

34

7. A providing medium for providing a program to a pattern recognizing device for classifying the feature distribution of input data into any of the stated number of models, said program can be read by a computer which causes the pattern recognizing device to execute the processing that includes an extracting step of extracting the pattern of said input data as the feature distribution, a storing step of storing said stated number of models, a classifying step of classifying the feature distribution that has been extracted on said extracting step into any of said stated number of models, and a generating step of generating said model that corresponds to such a state that said data do not exist, on the basis of the noise that has been input at the time just preceding the inputting of said data, and then updating the corresponding one that has been stored on said storing step.

ABSTRACT

The silence-acoustic-model correcting division generates a
silence acoustic model that is used for recognizing the silent section
Ts which exists in the beginning of the speech recognition section,
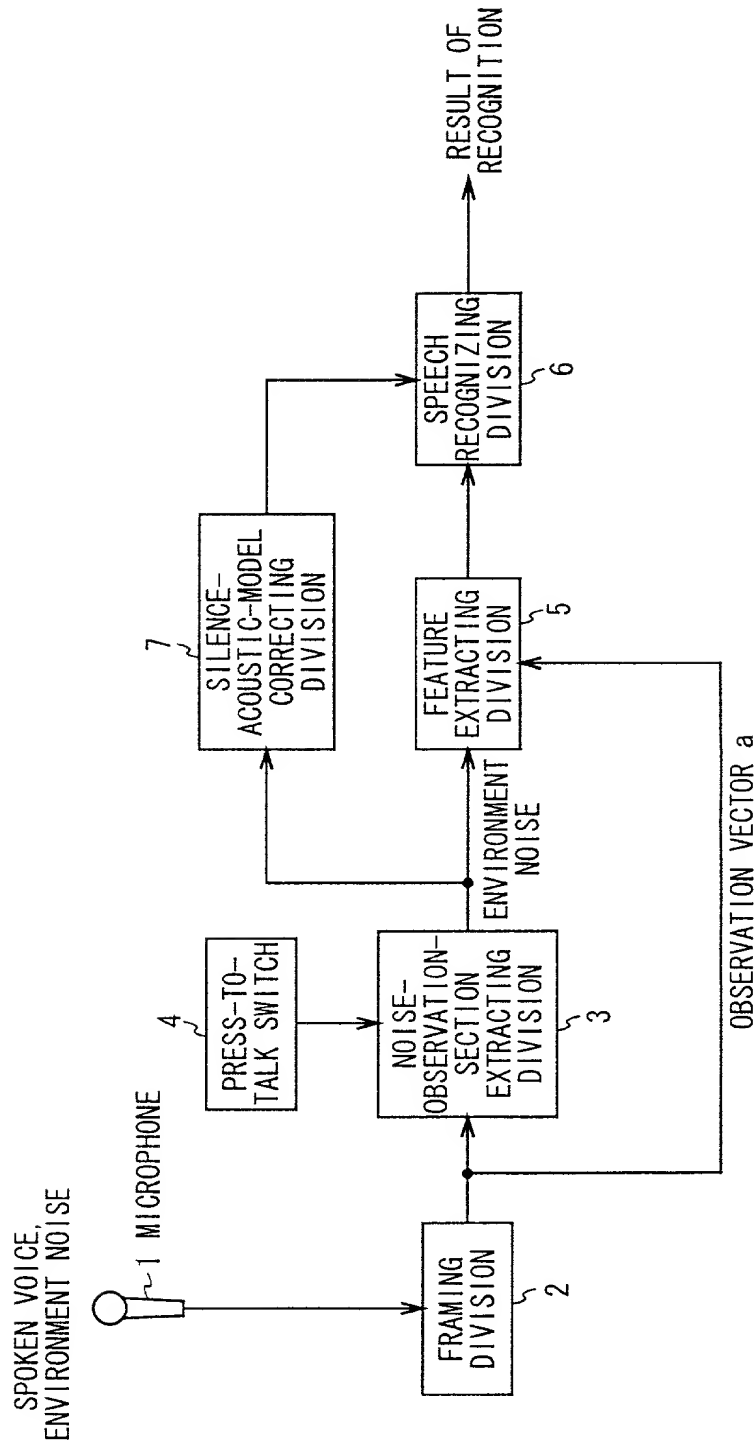on the basis of the environment noise that is included in the noise
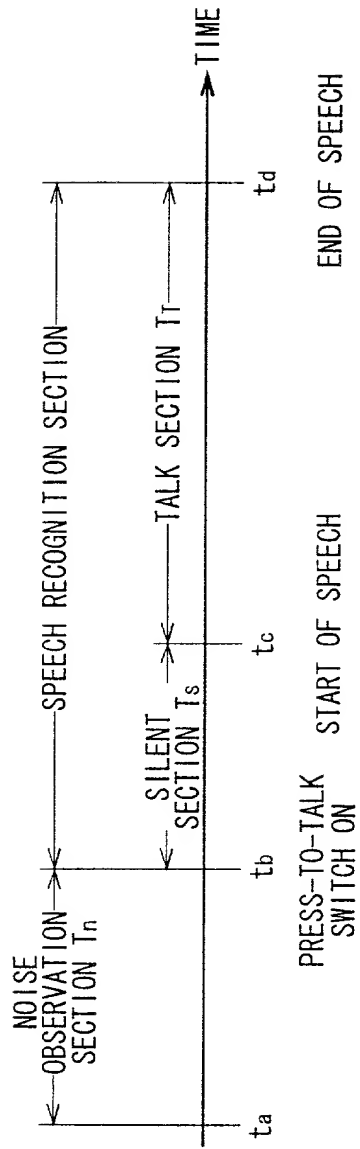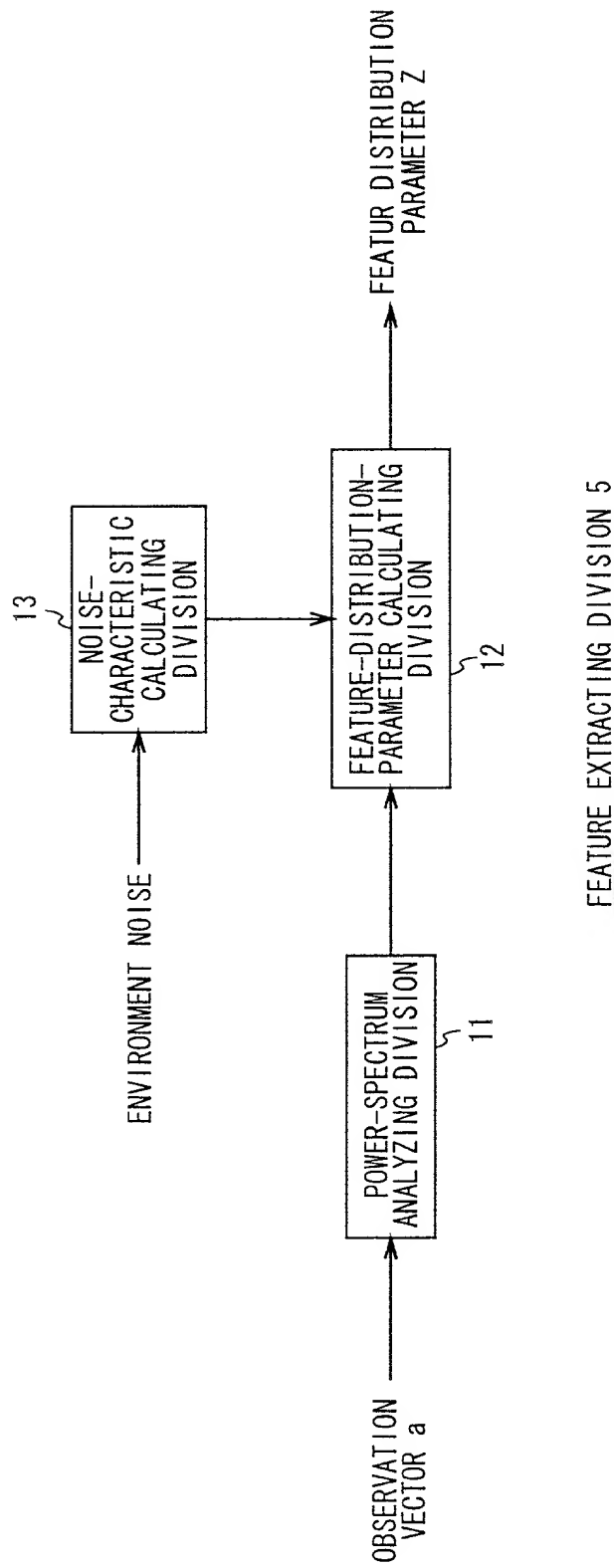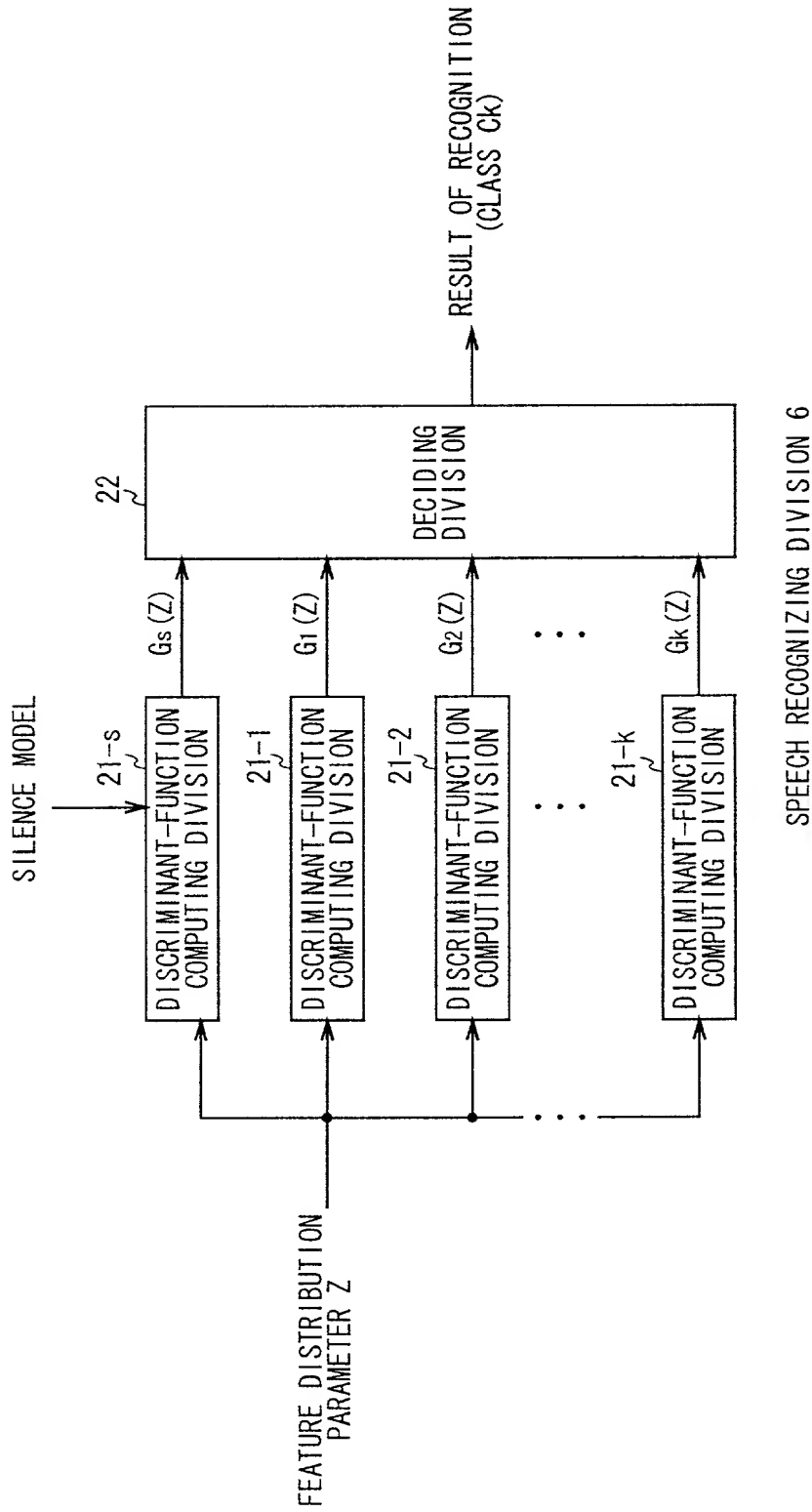observation section Tn.

36

FIG. 1

FIG. 2

FEATURE EXTRACTING DIVISION 5

FIG. 3

FEATURE DISTRIBUTION PARAMETER Z

SILENCE MODEL

21-s → DISCRIMINANT-FUNCTION COMPUTING DIVISION → Gs(Z)

21-1 → DISCRIMINANT-FUNCTION COMPUTING DIVISION → G₁(Z)

21-2 → DISCRIMINANT-FUNCTION COMPUTING DIVISION → G₂(Z)

21-k → DISCRIMINANT-FUNCTION COMPUTING DIVISION → Gk(Z)

22 DECIDING DIVISION → RESULT OF RECOGNITION (CLASS Ck)

SPEECH RECOGNIZING DIVISION 6

FIG. 4

4/9
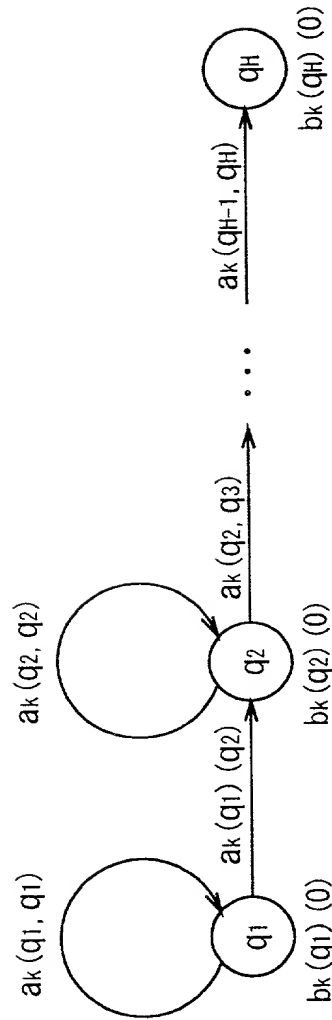
HMM(left-to-right MODEL)

FIG. 5

FIG. 6

FIG. 7

CORRECT RECOGNITION RATE (%)
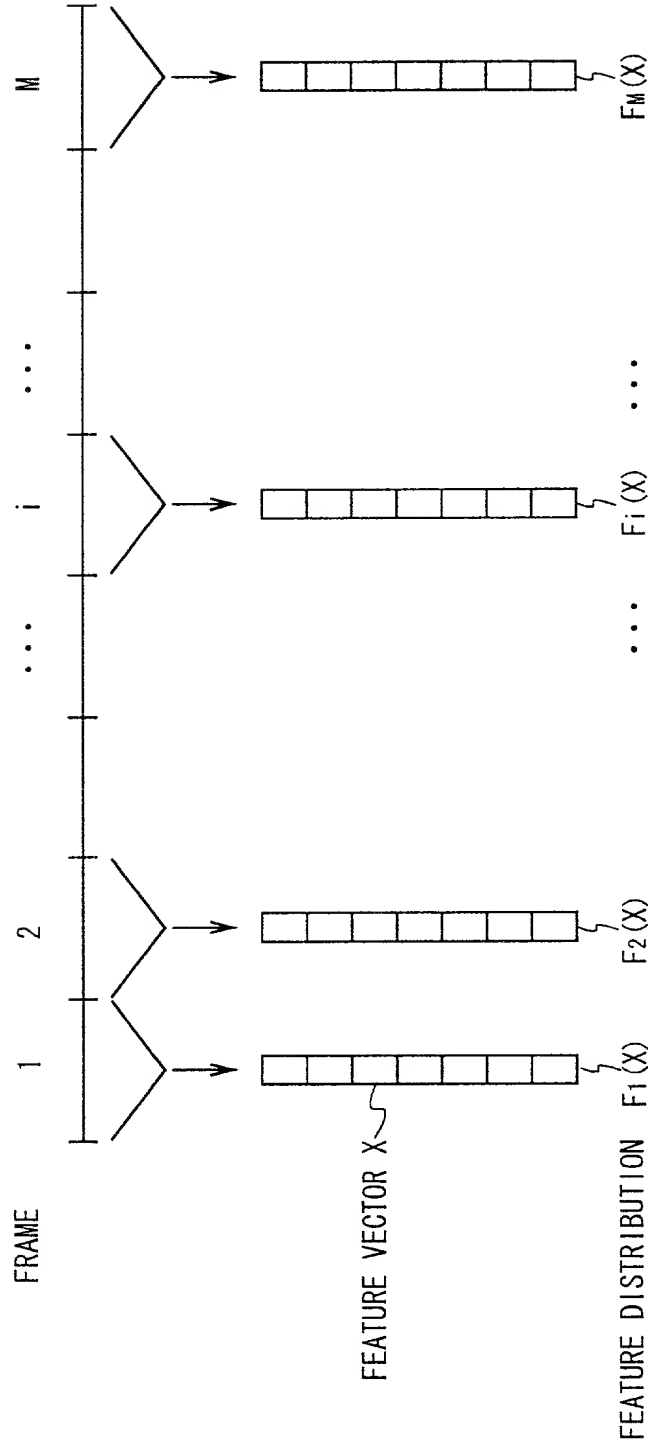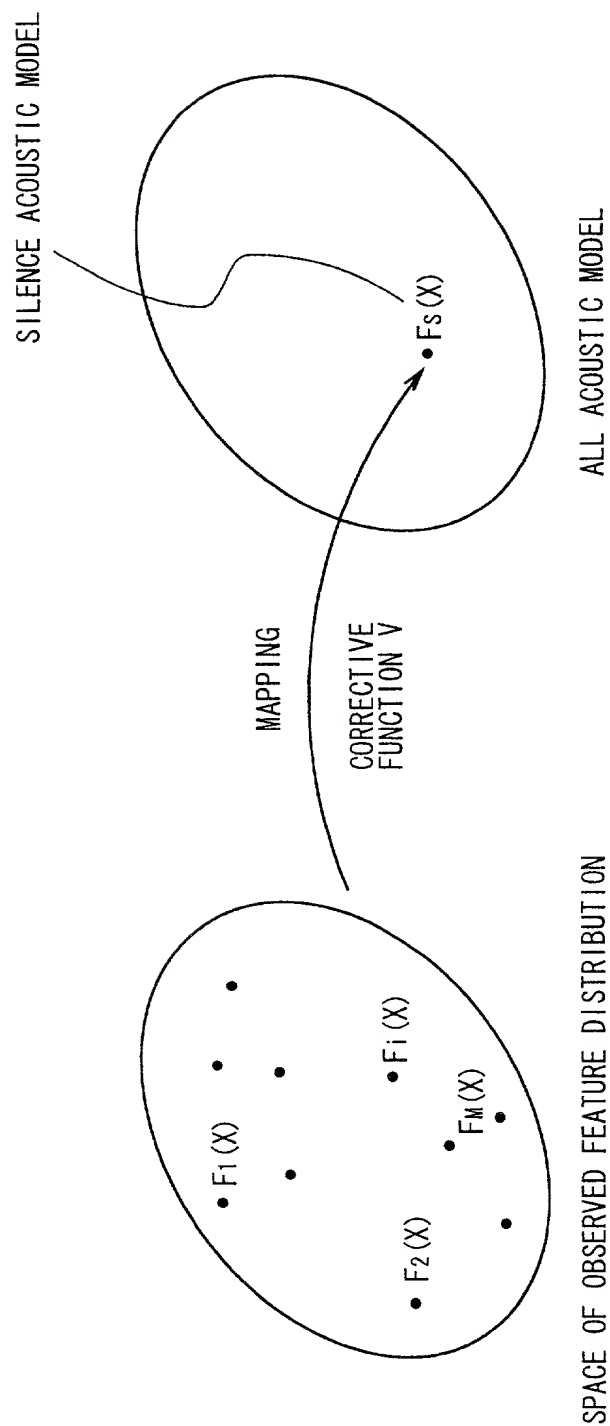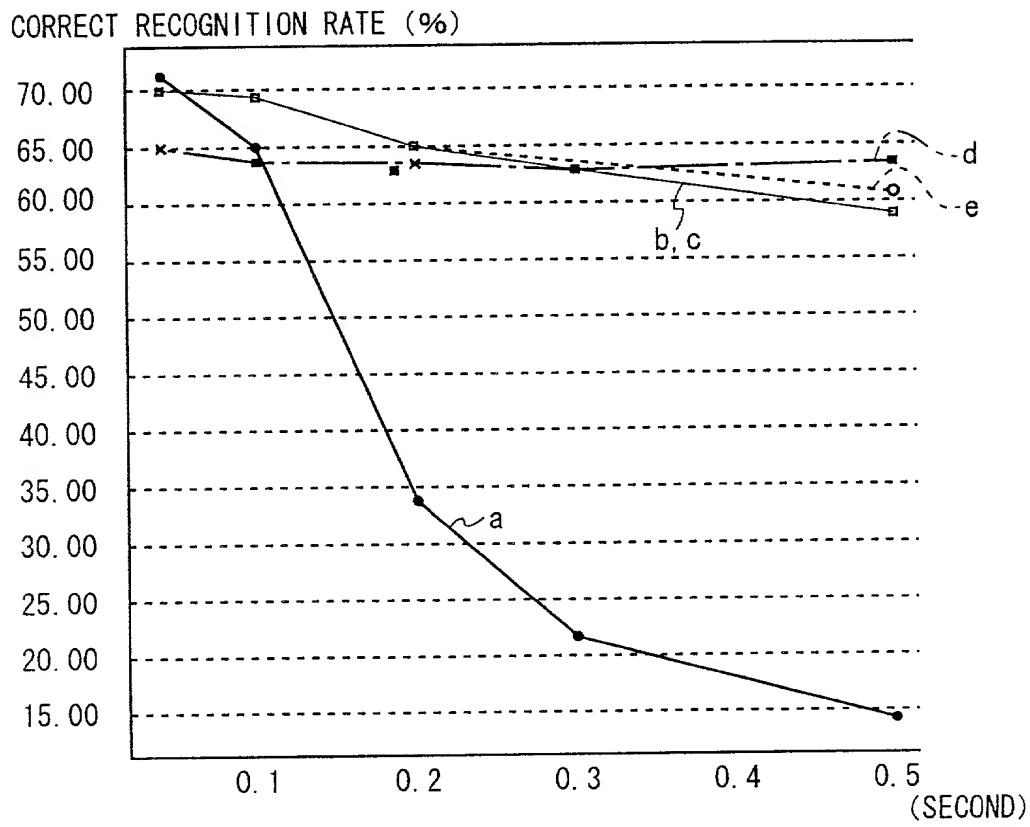
FIG. 8

DESCRIPTION OF REFERENCE NUMERALS

1······microphone, 2······framing division, 3······noise-
observation-section extracting division, 4······press-to-talk switch,
5······feature extracting division, 6······speech recognizing division, 7
······silence-acoustic-model correcting division.

DECLARATION FOR PATENT APPLICATION (JOINT OR SOLE)
(Under 37 CFR § 1.63; with Power of Attorney)
FROMMER LAWRENCE & HAUG LLP

FLH File No. 450108-02391

As a below named inventor, I hereby declare that:
My residence, post office address and citizenship are as stated below next to my name,
I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention ENTITLED:

DEVICE AND METHOD FOR PATTERN RECOGNITION, AND PROVIDING MEDIUM

the specification of which

_____ is attached hereto.

__X__ was filed on __3 March 2000__ as International Application Serial No. __PCT/JP00/01282__ ,

with amendment(s) through _____ (if applicable, give dates).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.
I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Sec. 1.56.
I hereby claim foreign priority benefits under Title 35, United States Code, § 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

			Priority Claimed:	
Prior Foreign Application(s)	[list additional applications on separate page]:			
Number:	Country:	Filed (Day/Month/Year):	Yes	No
11-057467	Japan	4 March 1999	X	
PCT/JP00/01282	PCT	3 March 2000	X	

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code § 112, I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Sec. 1.56, which became available between the filing date of the prior application and the national or PCT international filing date of this application:

Prior U.S. Application(s) [list additional applications on separate page]:

Appln. Ser. Number:	Filed (Day/Month/Year):	Status (patented, pending, abandoned):

I hereby appoint __WILLIAM S. FROMMER__ , Registration No. __25,506__ , and __DENNIS M. SMID__ , Registration No. __34,930__ or their duly appointed associate, my attorneys, with full power of substitution and revocation, to prosecute this application, to make alterations and amendments therein, to file continuation and divisional applications thereof, to receive the Patent, and to transact all business in the Patent and Trademark Office and in the Courts in connection therewith, and specify that all communications about the application are to be directed to the following correspondence address:

__WILLIAM S. FROMMER__ , Esq.
c/o FROMMER LAWRENCE & HAUG LLP
745 Fifth Avenue
New York, New York 10151

Direct all telephone calls to:
(212) 588-0800
to the attention of:
__WILLIAM S. FROMMER__

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

INVENTOR(S):

Signature: _____ Date: _Jan, 16, 2001_
Full name of sole or first inventor: Hongchang BAO
Residence: Tokyo, Japan
Citizenship: China

Signature: _____ Date: _____
Full name of 2nd joint inventor (if any):
Residence:
Citizenship:

[Similarly list additional inventors on separate page]
Post Office Address(es) of inventor(s):
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Note: In order to qualify for reduced fees available to Small Entities, each inventor and any other individual or entity having rights to the invention must also sign an appropriate separate "Verified Statement (Declaration) Claiming [or Supporting a Claim by Another for] Small Entity Status" form [e.g. for Independent Inventor, Small Business Concern, Nonprofit Organization, individual Non-Inventor].

Note: A post office address must be provided for each inventor.